

Practitioner's Docket No. 1746-6

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

☐ In re application of: LIPP, Eberhard
Application No.: 09 / 858,137 Group No.
Filed: May 15, 2001 Examiner:
For: VERTICAL MIXER

#31 Reg for
Refund
08-22-01

☐ Patent No.: Issued:

*NOTE: Insert name(s) of inventor(s) and title also for patent. Where the refund request is with respect to a maintenance fee payment, also insert application number and filing date, and add Box M. Fee to address.

Assistant Commissioner for Patents

Washington, D.C. 20231

ATTENTION: Refund Section, Accounting Division, Office of Finance

REQUEST FOR REFUND
(37 C.F.R. 1.28(a))

I. SUBMISSION OF SMALL ENTITY STATEMENT

(complete (a) or (b))

- (a) ☐ Attached is a statement claiming small entity status in this application.
(b) ☐ A statement claiming small entity status was filed in this application on

(C) ~~This entity is a small entity~~ (see Item 11 in original transmittal letter)

II. REFUND REQUEST

This request for refund is made within two months of the date a fee was paid in this application on _____, in the amount of \$_____.

NOTE: The two-month period (§ 1.28(a)) is not included in the provisions for extension under 37 C.F.R. 1.136 because it is not a period for response. Notice of November 30, 1983, 49 FR 548, January 4, 1984. 37 C.F.R. 1.28(a).

If the fee was changed to a deposit account, a request for refund must be filed within two months of the date of the change to the deposit account.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☐ deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Signature _____

Date: _____

(type or print name of person certifying)

US PATENT & TRADEMARK
OFFICE
2001 AUG 13 PM 3:57
OFFICE OF FINANCE
REFUND DIVISION

III. FEES PAID FOR WHICH REFUND REQUESTED

AMOUNT OF REFUND REQUESTED

☒ Filing fee \$ 355.00

☐ Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR 1.16(e) or 37 CFR 1.16(l)). \$ _____

or

☐ Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 CFR 1.16(e)) \$ _____

☐ Surcharge for filing the basic filing fee on a date later than the filing date of the provisional application (37 C.F.R. § 1.51(c)(1) and 37 C.F.R. § 1.16(l)) \$ _____

or

☐ Surcharge for filing the cover sheet on a date later than the filing date of the provisional application (37 C.F.R. § 1.51(c)(1) and 37 C.F.R. § 1.16(l)) \$ _____

☐ Extension of term \$ _____

☐ Issue fee \$ _____

☐ Patent maintenance fee

☐ first maintenance fee \$ _____

☐ second maintenance fee \$ _____

☐ third maintenance fee \$ _____

☐ Patent maintenance fee surcharge.

NOTE: The refund provisions of § 1.28(a), for later submitted small entity statements, apply to maintenance fees. Notice of July 30, 1984, 1046 O.G. 28-37.

☐ Other \$ _____

TOTAL REFUND REQUESTED \$ 355.00

Note: \$710 was charged to the credit card (copy of printout attached) even though Small Entity and credit card payment form was authorized for only \$355 (copy also attached).

IV. MANNER OF REFUND

Please make refund by crediting:

☒ Deposit Account No. 08-0879

☐ Credit card as shown on the attached credit card information authorization form PTO-2038.

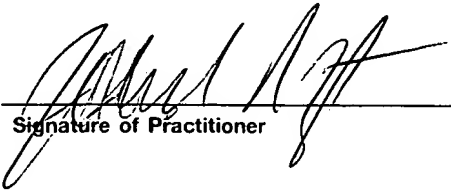
WARNING: Credit card information should *not* be included on this form as it may become public.

☐ refunding overpayment.

Reg. No.: 30,627

Tel. No.: (713)-224-8080

Customer No.:



Signature of Practitioner

John S. Egbert

(type or print name of practitioner)

412 Main, #700

P.O. Address

Houston, TX 77002

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

United States Patent & Trademark Office
Credit Card Payment Form
Please Read Instructions before Completing this Form

Credit Card Information

Credit Card Type: ☐ Visa ☐ MasterCard ☒ American Express ☐ Discover

Credit Card Account #: [REDACTED] 005

Credit Card Expiration Date: 06/02

Name as it Appears on Credit Card: JOHN S EGBERT

Payment Amount: \$(US Dollars): 355 *C*

Signature: *[Signature]*

Date: 5-15-01

Refund Policy: The Office may refund a fee paid by mistake or in excess of that required. A change of purpose after the payment of a fee will not entitle a party to a refund of such fee. The Office will not refund amounts of twenty-five dollars or less unless a refund is specifically requested, and will not notify the payor of such amounts (37 CFR 1.26). Refund of a fee paid by credit card will be via credit to the credit card account.

Service Charge: There is a 50.00 service charge for processing each payment refused (including a check returned "unpaid") or charged back by a financial institution (37 CFR 1.21(m)).

Credit Card Billing Address

Street Address 1: 3131 Timmons Lane #135

Street Address 2:

City: Houston

State: Texas

Zip/Postal Code: 77027

Country: USA

Daytime Phone #: (713) 623-4934

Fax #: (713) 223-4873

Request and Payment Information

Description of Request and Payment Information:

Patent Application Filing Fee (Small Entity)

☒ Patent Fee

☐ Patent Maintenance Fee

☐ Trademark Fee

☐ Other Fee

Application No.

Application No.

Serial No.

IDON Customer No.

24106

Patent No.

Patent No.

Registration No.

Attorney Docket No.

1746-C

Identify or Describe Mark

If the cardholder includes a credit card number on any form or document other than the Credit Card Payment Form, the United States Patent & Trademark Office will not be liable in the event that the credit card number becomes public knowledge.

(b)

Credit card ending with: Start Acctg Dt: 05/18 End Acctg Dt: 07/31/2001

Credit Card Sales

Accounting Dt	Create Dt	Name/Number	Fee Code	Amount	Atty. Dkt. #
5/18/2001	5/17/2001	09858137	101	\$710.00	1746-6 XTP
5/18/2001	5/18/2001	5701707	283	\$425.00	1106-15 +
5/21/2001	5/18/2001	09858445	101	\$710.00	1191-45 (750) +
5/21/2001	5/18/2001	60291574	214	\$75.00	1558-4 +
5/21/2001	5/18/2001	09858445	581	\$40.00	1191-45 (750) +
5/22/2001	5/21/2001	29142073	206	\$160.00	1772-2 } 200 +
5/22/2001	5/21/2001	29142073	581	\$40.00	1772-2 } 200 +
5/22/2001	5/21/2001	29142064	206	\$160.00	1772-3 + (\$500) +
5/22/2001	5/21/2001	09776187	201	\$355.00	↓ 905,015 (420) +
5/22/2001	5/22/2001	09095080	242	\$620.00	1429-14 +
5/22/2001	5/22/2001	09860234	201	\$355.00	1794-1 +
5/22/2001	5/22/2001	75636084	362	\$100.00	1194-10 +
5/22/2001	5/22/2001	09331924	216	\$195.00	1152-5 +
5/22/2001	5/21/2001	09776187	205	\$65.00	↑ 905,015 (420) +
5/22/2001	5/21/2001	29142064	581	\$40.00	1772-3 + (\$500) +
5/23/2001	5/22/2001	1960007	372	\$200.00	1429-71L (CST) +
5/23/2001	5/23/2001	76259025	361	\$325.00	1751-2 +
5/23/2001	5/23/2001	09194992	142	\$1,240.00	1418-39 +
5/23/2001	5/23/2001	09860675	581	\$40.00	1719-22 +
5/23/2001	5/23/2001	09860675	201	\$355.00	1719-22 +
5/23/2001	5/23/2001	5723258	183	\$850.00	1203-26 +
5/23/2001	5/23/2001	76259041	361	\$325.00	1751-3 +
5/23/2001	5/23/2001	76259026	361	\$325.00	1751-4 +